## **DEPARTMENT OF COMMERCE**

## Economic Development Administration

[Docket No. 950302065-5065-01]

## Economic Development Assistance Programs—Availability of Funds

**AGENCY:** Economic Development Administration (EDA), Department of Commerce (DoC).

ACTION: Notice.

**SUMMARY:** The Economic Development Administration (EDA) announces its policies and application procedures for funds available in fiscal year 1995, as described in Public Law 103–317, Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations, to support projects designed to alleviate conditions of substantial and persistent unemployment and underemployment in economically-distressed areas and regions of the Nation and to address economic dislocations resulting from sudden, severe job losses. The purpose of this announcement is to communicate to potential applicants for EDA funds the policies and procedures that will be used to administer the Agency's programs during fiscal year 1995.

DATES: This announcement is effective for applications considered for fiscal year 1995. Applications are accepted on a continuous basis and will be processed as funds are available. Normally, two months are required for a final decision after the receipt of a completed application that meets all EDA requirements.

**ADDRESSES:** Interested parties should contact the EDA office in their area (see Section XII).

FOR FURTHER INFORMATION CONTACT: See information at the end of each program section and Section XII for the EDA regional office and Economic Development Representative for the area.

## SUPPLEMENTARY INFORMATION:

## I. General Policies

EDA encourages certain types of project applications such as those proposals having the greatest potential to benefit areas experiencing or threatened with substantial economic distress. EDA is particularly interested in projects located in authorized and designated enterprise zones. Distress may exist in a variety of forms, including high levels of unemployment, low income levels, large concentrations of low income families, significant

decline in per capita employment, substantial loss of population because of the lack of employment opportunities, large numbers (or high rates) of business failures, sudden major layoffs or plant closures, and reduced tax bases.

Potential applicants are responsible for demonstrating to EDA, through the provision of statistics and other appropriate information, the nature and level of the distress their efforts are intended to alleviate. In the absence of evidence of high levels of distress, EDA funding is unlikely. In considering proposals to benefit severely distressed areas, EDA will give consideration to those that address the needs of both rural and urban communities, particularly aid that is directed toward the economic diversification of such areas.

During FY 1995, EDA will place a special emphasis on assisting projects that focus on: (1) Exports; (2) entrepreneurship; (3) telecommunications; and (4) technology initiatives including innovation, staying competitive in high value markets (well paying quality jobs), transfer, and commercialization, to alleviate conditions of substantial and persistent unemployment and underemployment in economically-distressed areas and regions, through the provision of grants for Public Works and Development Facilities, Technical Assistance, Economic Development Planning, Research and Evaluation, and Economic Adjustment Assistance.

EDA recognizes that small communities experience impediments to economic development other than the traditional inadequacies of existing water, sewer and roadway systems; therefore, in fiscal year 1995, EDA will give consideration to projects that will assist an area to overcome a special development or infrastructure problem that is preventing employment growth and economic development from taking place. Such projects may involve, but are not limited to, activities designed to enhance the expansion of the service sector of the economy when that sector is deemed more growth oriented than the traditional industrial sector, or innovative projects designed for the development of publicly-owned telecommunications infrastructure when it can be demonstrated that such a project is needed to foster productivity or enhance economic growth within an EDA-eligible area. Such proposals must be appropriately scaled and provide substantial and direct benefit to the local economy or otherwise enhance the economic prosperity of the area. EDA will consider providing assistance to demonstration type projects that are

especially creative from an economic development standpoint and that leverage a substantial amount of nonfederal resources.

Eligible applicants that can demonstrate substantial local support for proposed projects have a better chance to receive EDA assistance. Proposals that do not provide evidence of strong support from the governmental entities in which the proposed project is located are less likely to receive EDA assistance. Support can be demonstrated by the commitment of funds necessary to finance all or part of the nonfederal share.

EDA expects those responsible for developing and managing projects to maximize the impact of the public funds by implementing projects as thoroughly and expeditiously as possible. In the case of projects involving construction, EDA expects construction to be initiated and completed in a timely manner. Applicants are expected to anticipate predictable delays such as those caused by normal weather conditions, permits and approvals, legal complications, community disputes, land acquisition, etc., and account for them in developing project schedules. Projects which by their nature are likely to encounter significant delays are less likely to be considered for funding. Projects that experience unreasonable delays following EDA approval may be terminated and the funds deobligated. These policies are consistent with EDA's objective of supporting activities that can begin to benefit local economies as soon as possible, thereby meeting the pressing development needs identified by project applicants.

Generally, EDA funding will not be used directly or indirectly to assist employers who transfer one or more jobs from one commuting area to another. EDA nonrelocation requirements (13 CFR 309.3) apply to all grants involving construction, rehabilitation or repair of real estate under Titles I, IV, IX, and Section 301(f) of the Public Works and Economic Development Act of 1965 (Pub. L. 89–136, 42 U.S.C. 3121–3246h), as amended and grants under Title IX for any purpose (including Revolving Loan Funds).

No award of Federal funds will be made to an applicant who has an outstanding delinquent Federal debt until either:

- 1. The delinquent account is paid in full:
- 2. A negotiated repayment schedule is established and at least one payment is received, or
- 3. Other arrangements satisfactory to DoC are made.

Applicants may be subject to a preaward accounting system survey by the Department of Commerce's Office of Inspector General, and fund recipients may be subject to audits or other inspections by the same office.

Applicants eligible for additional grant assistance because of membership in an economic development district must be active participants in the district's economic development

planning process.

EDA will evaluate applications for conformance with published statutory, regulatory, and policy requirements. Applications proposed for funding under these programs are subject to the requirements of Executive Order 12372, "Intergovernmental Review of Federal

Programs."

EĎA will not approve projects that involve actual or potential conflict-of-interest situations. If EDA identifies or suspects a possible conflict-of-interest situation, or an appearance of such, application processing and/or the grant award may be suspended and the burden will be on the applicant/grantee to take appropriate steps to eliminate the perception or actual conflict of interest before the application processing or award is resumed.

Recipients must agree that no funds made available by EDA will be used, directly or indirectly, for paying attorneys' or consultants' fees in connection with securing awards made by the Government, such as preparation of the application. However, attorneys' and consultants' fees incurred for meeting award requirements, such as conducting a title search or preparing plans and specifications, may be eligible project costs and may be paid out of funds made available by EDA, if such costs are otherwise eligible.

The total dollar amount of the indirect costs proposed in an application under any EDA programs must not exceed the indirect cost rate negotiated and approved by a cognizant Federal agency prior to the proposed effective date of the award or 100 percent of the total proposed direct costs dollar amount in the application, whichever is less.

Primary Applicant Certification—All primary applicants must submit a completed Form CD–511, "Certifications Regarding Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements and Lobbying," and the following explanations are hereby provided:

a. Nonprocurement Debarment and Suspension—Prospective participants (as defined at 15 CFR Part 26, Section 105) are subject to 15 CFR Part 26, "Nonprocurement Debarment and Suspension" and the related section of the certification form prescribed above applies:

b. Drug-Free Workplace—Grantees (as defined at 15 CFR Part 26, Section 605) are subject to 15 CFR Part 26, Subpart F, "Governmentwide Requirements for Drug-Free Workplace (Grants)" and the related section of the certification form prescribed above applies;

c. Anti-Lobbying—Persons (as defined at 15 CFR Part 28, Section 105) are subject to the lobbying provisions of 31 U.S.C. 1352, "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," and the lobbying section of the certification form which applies to applications/bids for grants, cooperative agreements, and contracts for more than \$100,000, and loans and loan guarantees for more than \$150,000, or the single family maximum mortgage limit for affected programs, whichever is greater; and

d. Anti-Lobbying Disclosures—Any applicant that has paid or will pay for lobbying using any funds must submit an SF–LLL, "Disclosure of Lobbying Activities," as required under 15 CFR

Part 28, Appendix B.

Recipients shall require applicants/ bidders for subgrants, contracts, subcontracts, or other lower tier covered transactions at any tier under the award to submit, if applicable, a completed Form CD-512, "Certifications Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions and Lobbying" and disclosure form, SF-LLL, "Disclosure of Lobbying Activities." Form CD-512 is intended for the use of recipients and should not be transmitted to the Department. SF-LLL submitted by any tier recipient or subrecipient should be submitted to DoC in accordance with the instructions contained in the award document.

Buy American-Made Equipment or Products—Applicants are hereby notified that they will be encouraged, to the greatest extent practicable to purchase American-made equipment and products with funding provided under these programs in accordance with Congressional intent as set forth in the resolution contained in Public Law 103–317, Sections 607 (a) and (b).

The implementing regulations of the National Environmental Policy Act (NEPA) require EDA to provide public notice of the availability of project specific environmental documents such as environmental impact statements, environmental assessments, findings of no significant impact, records of decision etc., to the affected public as specified in 40 CFR 1506.6(b).

Depending on the project location, environmental information concerning specific projects can be obtained from the Regional Environmental Officer (REO) in the appropriate EDA regional office listed in Section XII or from Dr. Frank Monteferrante, Environmental Branch, Compliance Review Division, EDA, U.S. Department of Commerce, Washington, DC 20230, (202) 482–4208.

Applicants should be aware that a false statement on the application is grounds for denial of the application or termination of the grant award and grounds for possible punishment by a fine or imprisonment as provided in 18 U.S.C. 1001 and 42 U.S.C. 3220.

All nonprofit and for-profit applicants are subject to a name check review process. Name checks are intended to reveal if any key individuals associated with the applicant have been convicted of, or are presently facing, criminal charges such as fraud, theft, perjury, or other matters which significantly reflect on the applicant's management, honesty or financial integrity.

# **Application Procedures**

Applications are accepted on a continuous basis. Processing time for applications will depend upon the completeness of the information and supporting documents provided in the application at the time of submission. Applications that require additional information from applicants or other sources will not be processed further pending correction of deficiencies, and the official application receipt dates will be adjusted accordingly. Normally, two months are required for a final decision after the receipt of a completed application that meets all EDA requirements. Please see the specific program sections of this Notice for appropriate preapplication and application procedures. Proponents should contact the appropriate EDR (see Section XII) to obtain the necessary application forms.

An invitation to submit an application does not assure EDA funding. Factors that will be considered in selecting proposals include if and to what extent the project meets the evaluation criteria and "General Policies" described above.

Project applications invited but not funded or denied in any fiscal year remain eligible for funding consideration in the next fiscal year. Applications invited and received prior to the date of this Notice will be processed and evaluated in accordance with the project evaluation and selection criteria published for FY 1994 and current legal requirements. Those applications invited on or after the date of this Notice must be consistent with

this Notice. Applicants whose projects were invited but not submitted to EDA in FY 1994 should contact the appropriate EDA regional office regarding the forms to be used for FY 1995.

#### **Selection Procedures**

Generally, preapplications are reviewed by the Economic Development Representative, the Project Review Committee and then the Regional Director. Based on the evaluation criteria, general policies and availability of funds, final funding decisions on all applications under Titles I and IX and Title III, Local Technical Assistance and Planning, are made by the Regional Directors subject to such conditions as the Assistant Secretary for Economic Development may prescribe. All other funding decisions are made by the Assistant Secretary for Economic Development.

Recipients and subrecipients are subject to all Federal laws and Federal and DoC policies, regulations, and procedures applicable to Federal financial assistance awards.

Unsatisfactory performance under prior Federal awards may result in an application not being considered for funding.

If an application is selected for funding, EDA has no obligation to provide any additional future funding in connection with an award. Renewal of an award to increase funding or extend the period of performance is at the total discretion of EDA.

Applicants should be aware that if they incur any costs prior to an award being made they do so solely at their own risk of not being reimbursed by the Government. Notwithstanding any verbal or written assurance that may have been received, there is no obligation on the part of EDA to cover pre-award costs.

The following material describes other requirements, policies and procedures associated with each of EDA's programs.

## II. Program: Public Works and Development Facilities Assistance

(Catalog of Federal Domestic Assistance: 11.300 Economic Development Grants and Loans for Public Works and Development Facilities. 11.304 Economic Development Public Works Impact Program (PWIP)) 13 CFR Part 305

## Authority

Funds available under the Public Works and Development Facilities Program are used to finance projects that contribute to the economic development of distressed areas. This program is authorized by Titles I and IV of the Public Works and Economic Development Act of 1965, as amended (PWEDA), 42 U.S.C. 3131 and 42 U.S.C. 3171(a)(3).

## Eligibility

Eligible applicants under this program include any state, or political subdivision thereof, Indian tribe, the Federated States of Micronesia, the Republic of the Marshall Islands, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa and the Commonwealth of the Northern Mariana Islands, or private or public nonprofit organization or association representing any redevelopment area or part thereof, provided such project is located within an eligible EDA area that is represented by the nonprofit organization or association.

Eligible areas, other than those designated under the Public Works Impact Program, must have a current EDA-approved Overall Economic Development Program (OEDP). Political entities claiming eligibility under OEDPs developed by multicounty economic development organizations are expected to continue to participate actively in the organization. Further information on eligibility is available from EDA's regional offices. Nonprofit applicants are urged to seek the cooperation and support of units of local government and, when deemed appropriate by EDA, to have the local government serve as co-applicant for EDA assistance. This ensures the financial stability and continuity of the project, in the event that the nonprofit entity finds itself in a position of not having the financial resources to properly and efficiently administer, operate, and maintain the EDA-assisted facility consistent with the provisions of 13 CFR 314-Property Management Standards.

# Program Objective

The purpose of the Public Works Program is to assist communities with the funding of public works and development facilities that contribute to the creation or retention of private sector jobs and to the alleviation of unemployment and underemployment. Such assistance is designed to help communities achieve lasting improvement by stabilizing and diversifying local economies, and improving local living conditions and the economic development of the area. The alleviation of unemployment and underemployment among residents of the project area is a primary focus of this program.

## Funding Availability

Funds in the amount of \$195 million are available for this program. Grants awarded under this program generally range between \$100,000 and \$1.5 million.

# Funding Instrument

EDA may provide direct grants not to exceed 50 percent of the estimated cost of the project. However, under certain circumstances supplementary grants to augment the direct grant may be provided up to a maximum of 80 percent of the eligible project costs. Supplementary grant assistance to finance over 50 percent of the project costs will be approved by EDA only for proposals in areas of high distress. Decisions on such supplementary grant assistance will be based on the nature of the project, the amount of fair user charges or other revenues the project may reasonably be expected to generate, and the relative needs of the area (see 13 CFR 305.5). But in no event will the Federal participation exceed 80 percent of the aggregate cost of any such project, except as authorized by section 101(c) of PWEDA of 1965, as amended, which permits EDA to waive the nonfederal share under certain conditions. Applicants are required to provide the local share from acceptable sources including, but not limited to cash, local government general obligation or revenue bonds, Community Development Block Grant (CDBG) entitlement funds or balance of state awards, Farmers Home Administration loans, and other public and private financing, including donations.

The local share need not be in hand at the time of application, however, the applicant must assure EDA that the funds will be available to provide the nonfederal share of the project. The local share must not be encumbered in any way that would preclude its use consistent with the requirements of the grant.

### Project Duration

Projects are expected to be completed in a timely manner consistent with the nature of the project. Normally, the maximum period for any financial assistance that is provided shall be not more than five (5) years from date of award.

#### Evaluation Criteria

For both regular public works projects and Public Works Impact Program (PWIP) projects, priority consideration will be given to those which are the most competitive based upon the project evaluation criteria set forth below, that best meet the needs of eligible areas, and that are located in areas of substantial economic distress. Evaluation criteria will not be assigned weights. Projects will be evaluated on the basis of the elements listed below to meet the goals described above.

## A. Public Works Projects

Factors that will be taken into account in considering projects eligible under section 101(a)(1)(A)—(C) of PWEDA, 42 U.S.C. 3131(a)(1)(A)—(C), include if and to what extent the project:

- 1. Improves opportunities for the successful establishment or expansion of industrial or commercial facilities in the area where such project will be located.
- 2. Is consistent with the EDA approved Overall Economic Development Program (OEDP) for the area in which it is, or will be, located, and has broad community support.
- 3. Assists in creating or retaining private sector jobs in the near term and assists in the creation of additional long-term employment opportunities, provided the jobs are not transferred from any other area of the United States, and will result in a low cost-per-job in relation to total EDA cost.
- 4. Benefits the long-term unemployed and members of low-income families who are residents of the area to be served by the project.
- 5. Fulfills a pressing need of the area, or part thereof, in which it will be located.
- 6. Is supported by significant private sector investment.
- 7. Has evidence of adequate local share of funds.
- 8. Supports developments taking place in designated empowerment zones/enterprise communities.
- 9. Demonstrates that necessary permits, land acquisitions, or options on land and rights-of-way have been or will be obtained and that all other legal requirements of the application process have been satisfied.
- 10. Maximizes the amount of local, state or other Federal funding that is available.
- 11. Gives evidence of the ability to begin and complete construction in a timely manner in accordance with a schedule to be agreed upon by EDA and the applicant and included in the grant award. EDA discourages the start of construction prior to grant award and cautions that financial hardship may be experienced by applicants whose projects are not approved. EDA will require all applicants that request approval to proceed with construction prior to grant award to acknowledge that they are proceeding at their own risk and without recourse to EDA if the grant

is not awarded or EDA requirements are not met. EDA also requires that compliance with environmental regulations be completed before construction begins. EDA's regional office must have time to complete its "Finding of No Significant Impact," and clearances must be obtained from appropriate state and Federal agencies. Furthermore, EDA may view the start of construction prior to grant award as an indication that the grant funds are not essential for the successful implementation of the project.

12. If located in an Economic Development Center (i.e., Growth Center) that has a stable economy with little distress, includes an employment plan that explains how new employment opportunities for residents of nearby highly distressed redevelopment areas will be provided.

# B. Public Works Impact Program

Factors that will be considered in the evaluation of projects under the Public Works Impact Program (PWIP) authorized by section 101(a)(1)(D) of PWEDA, 42 U.S.C. 3131(a)(1)(D), include if and to what extent the project:

1. Directly assists in creating immediate useful work (i.e., construction jobs) for the unemployed and underemployed residents in the project area;

2. Improves the economic or community environment in areas of severe economic distress;

3. Includes a specific plan (i.e., PWIP Employment Strategy) for hiring the unemployed and underemployed persons from the project area to work on the construction of the project; EDA will evaluate all plans to ensure that they contain a logical explanation of how the employment objectives will be met;

4. Assists in providing long-term employment opportunities or other economic benefits for the unemployed and underemployed in the project area;

5. Primarily benefits low-income families by providing essential community services, or satisfying a pressing public need;

6. In addition to the requirement for regular public works projects, as contained in paragraph A 11., can begin construction quickly (normally within 120 days after acceptance of the grant by the applicant);

7. Has significant labor intensity, where labor intensity is the proportion of labor costs to the total project costs.

## C. Industrial Park Projects

Projects that will primarily serve an industrial park or site may be evaluated on such additional factors as:

1. A detailed analysis of existing industrial park capacity and utilization; occupancy rates for existing developed industrial parks currently available within a 25-mile radius of the project site. For cities with populations over 50,000, the prescribed area may be determined by an analysis of industrial sites within an established industrial area, which may be less than a 25-mile radius. Contact the economic development representative (EDR) for the area or the appropriate EDA regional office for guidance.

2. Commitments in writing from identified tenants to expand existing operations or to locate in the industrial park or site. Commitments should include a description of the industry, the number of jobs created or saved, an implementation schedule, and the relationship of the commitment to the requested grant assistance.

3. The existence of a documented marketing strategy and demonstrated financial ability to market space in the industrial park or site. Strong emphasis will be placed upon this evaluation criterion.

## **Construction Project Implementation**

As indicated in the first section of this Notice, EDA expects construction projects to be initiated and completed in a timely manner and in accordance with the schedule agreed upon in the grant documentation.

Under most circumstances, EDA will not provide additional funds to finance cost overruns that occur during project implementation.

## **Proposal Submission Procedures**

To establish the merits of project proposals, interested parties should first contact the economic development representative (EDR) for the area (see listing in Section XII). The economic development representative for the area will provide a preapplication form (ED–101P, OMB Control No. 0610–0011) and arrange for conferences to discuss the proposal. EDA will evaluate proposals before inviting the submission of an application. As previously mentioned, an invitation to submit an application does not assure EDA funding. Proposals will be evaluated based upon:

- 1. Conformance with the evaluation criteria mentioned above;
- 2. Merits of the proposal in addressing the economic development needs of the eligible area; and
- 3. The availability of program funds. Processing time for project proposals depends upon the completeness of information and supporting documents provided in the preapplication form at the time of submission. Project

proposals that require additional information from applicants or other sources will not be processed further until deficiencies are corrected.

## **Application Procedures**

Following a review of project proposals, EDA will invite entities whose projects are selected for consideration to submit applications within 30 days after receipt of an invitation letter. The application will include a form ED-101A, as approved by the Office of Management and Budget Control No. 0610-0011. The demand for public works assistance is expected to exceed available funding. The processing of applications that cannot be recommended for approval within 60 days of receipt in a regional office because of unresolved issues will be suspended. Such applications may be reconsidered at a future date, but must compete with other applications for the funds available at that time.

#### **Further Information**

For further information contact the appropriate EDA regional office or economic development representative for your area (see Section XII of this Notice).

# III. Program: Local Technical Assistance

(Catalog of Federal Domestic Assistance: 11.303 Economic Development-Technical Assistance) 13 CFR Part 307, Subpart A

## Authority

Funds under the Local Technical Assistance Program are awarded to eligible applicants to provide assistance intended to assure the successful initiation and implementation of area, state, and regional development efforts designed to alleviate economic distress. This program is authorized under Section 301(a) of the Public Works and Economic Development Act of 1965, as amended, 42 U.S.C. 3151(a).

## Eligibility

Eligible applicants for Local Technical Assistance grants or cooperative agreements include public or private nonprofit national, state, area, district, or local organizations; public and private colleges and universities; Indian tribes, local governments, and state agencies. In certain circumstances, applications may be considered from other applicants such as private individuals, partnerships, firms, and corporations.

## Program Objective

The Local Technical Assistance Program is designed to help alleviate or prevent conditions of excessive unemployment or underemployment and problems of economically distressed populations in rural and urban areas.

## Funding Availability

Funds in the amount of \$1.5 million are available for the Local Technical Assistance Program. It is expected that these funds will be made available for projects serving specific local or substate areas and also for projects whose impacts will affect multistate areas within EDA regional office boundaries. Individual award amounts have averaged \$25,000 in recent years.

## Funding Instrument

EDA will provide grants and cooperative agreements not to exceed 75 percent of the proposed project costs. Applicants are expected to provide the remaining share, preferably in cash. The Assistant Secretary may waive all or part of the 25 percent share of technical assistance grants, if he/she determines that the nonfederal share is not reasonably available because of the critical nature of the situation requiring technical assistance or for other good cause.

#### Project Duration

Assistance will be for the period of time required to complete the scope of the work. This typically does not exceed twelve months.

#### Evaluation Criteria

Evaluation criteria will not be assigned weights. Projects will be evaluated on the basis of the elements listed below in order to meet the goals described above. Evaluation criteria include whether the local Technical Assistance proposal:

- 1. Produces strong evidence that the proposed project will lead to the nearterm (between one and five years) generation or retention of private sector jobs.
- 2. Does not depend upon further EDA or other Federal funding assistance to achieve results.
- 3. Strengthens the capability of state and local organizations and institutions, including nonprofit development groups, to undertake and promote effective economic development programs targeted to people and areas of distress.
- 4. Stimulates significant private and nonfederal public investment for economic development purposes, including funds from commercial lenders, public and private pension funds and other nontraditional sources.

- Benefits severely distressed areas, both rural and urban counties and communities.
- 6. Diversifies distressed rural and urban economies by means of empowerment zones, enterprise communities and other strategies.
- 7. Demonstrates innovative approaches to stimulating economic development in depressed areas. EDA is particularly interested in receiving innovative proposals in the following areas:
- a. Export development used as an economic development strategy;
- b. Assistance to business in uses of technology; and
  - c. Sustainable development.
- 8. Is consistent with the EDA approved Overall Economic Development Program (OEDP) for the area in which the project is located and has been recommended by the OEDP Committee (if appropriate to the nature of the project).
- 9. Presents an appropriate and clear project design.
- 10. Is proposed by organization or individual(s) with the capacity, qualifications and staff necessary to undertake the intended activities.
- 11. Presents a reasonable, itemized budget for the proposed activities.
- 12. Involves a significant (preferably cash) contribution in excess of minimum required from applicant or other nonfederal sources.

## Pre-Application Procedures

Parties seeking support for Local Technical Assistance projects should contact the economic development representative (EDR) for the area to discuss their proposal. (See EDR listing in Section XII of this announcement.)

EDA will evaluate all proposals as they are received and invite applications for those which best satisfy the evaluation criteria. An invitation does not, however, assure EDA funding.

Potential applicants should submit one original and two copies of a brief and concise proposal, not to exceed 20 pages. Vita and capability information may be appended.

#### Proposal Submission Procedures

Potential applicants must submit to the appropriate EDR proposals that include:

1. A cover page giving a short descriptive project title, the name and address of the applicant organization, the name and telephone number of the project director, the project duration, total project cost, the amount of EDA funds requested, and the program (Local Technical Assistance) from which EDA funds are being requested;

- 2. A brief section indicating why the project is needed, giving its objectives, and providing a capsule description of the project;
- 3. A more detailed description of the project and its methodology;

4. A work plan showing different phases of the project and their timing;

- 5. A detailed budget, not to exceed one page, showing cost breakdowns, with EDA-funded and non-EDA-funded costs presented in separate columns and with the EDA-funded costs adding to the total shown on the cover page;
- 6. Resumes for the project director and principal staff; and
- 7. A corporate or institutional capability statement, where appropriate.

Parties seeking support for projects where impacts will cross EDA regional boundaries should submit proposals to the Director, Technical Assistance and Research Division, Economic Development Administration, Room 7315, U.S. Department of Commerce, Washington, DC 20230. Individuals or organizations located outside the Washington, DC, metropolitan area should submit a copy of the letter transmitting their proposal to Washington, and to the EDR for the area in which they are located.

## Application Procedures

The appropriate EDA regional office will invite entities whose proposals for Local Technical Assistance projects are selected for further consideration to submit application packages. The application will include a Standard Form-424 (OMB Control No. 0348–0043).

# Eligibility for Specific Solicitations

EDA may, during the course of the year, identify specific economic development technical assistance activities it wishes to have conducted. Organizations and individuals interested in being invited to respond to Solicitations of Applications (SOAs) to conduct such work should submit information on their capabilities and experience to the Director, Technical Assistance and Research Division, Economic Development Administration, Room 7315, U.S. Department of Commerce, Washington, DC 20230.

# Further Information

For further information about Local Technical Assistance projects contact the appropriate EDR. (See EDR listing in Section XII of this announcement.) For further information about submitting projects whose impact will cross EDA regional office boundaries, contact the National Technical Assistance Coordinator, telephone (202) 482–2127.

# IV. Program: National Technical Assistance

(Catalog of Federal Domestic Assistance: 11.303 Economic Development-Technical Assistance) 13 CFR Part 307, Subpart A

## Authority

Funds under the National Technical Assistance Program are awarded to eligible applicants who will offer assistance to local, regional and state organizations, and/or conduct demonstrations of and disseminate information about innovative development techniques designed to alleviate economic distress. This program is authorized under Section 301(a) of the Public Works and Economic Development Act of 1965, as amended, 42 U.S.C. 3151(a).

## Eligibility

Eligible applicants for National Technical Assistance grants or cooperative agreements include public or private nonprofit national, state, area, district, or local organizations; public and private colleges and universities; Indian tribes, local governments, and state agencies. In certain circumstances, applications may be considered from other eligible applicants such as private individuals, partnerships, firms, and corporations.

## Program Objective

The National Technical Assistance Program is designed to help alleviate or prevent conditions of excessive unemployment or underemployment and to address problems of economically distressed populations.

#### Funding Availability

Funds in the amount of \$1.85 million are available for the National Technical Assistance Program. Individual award amounts may vary and generally will not exceed \$200,000.

## Funding Instrument

EDA will provide grants not to exceed 75 percent of proposed project costs. Applicants are expected to provide the remaining share. In cases where EDA issues a Solicitation of Applications, an applicant's share may not be required. The Assistant Secretary may waive all or part of the 25 percent nonfederal share of technical assistance grants, if he/she determines that the nonfederal share is not reasonably available because of the critical nature of the situation requiring technical assistance or for other good cause.

### Project Duration

Assistance will be for the period of time required to complete the scope of

the work. Generally, this will not exceed fifteen months.

#### Evaluation Criteria

Evaluation criteria will not be assigned weights. Projects will be evaluated on the basis of the elements listed below in order to meet the goals described above. EDA will consider proposals for National Technical Assistance that include whether the proposal:

- 1. Effectively supports, through providing information and other means, the capability of state and local organizations and institutions, including nonprofit development groups, to undertake and promote effective economic development programs targeted to people and areas in distress.
- 2. Demonstrates innovative approaches to stimulating economic development in one or more of the following:
- a. Assistance to business in implementing technology initiatives including innovations, transfer, and commercialization including high value manufacturing through collaboration;
  - b. Export assistance;
  - c. Entrepreneurship assistance;
- d. Sustainable development and growth management;
- e. Empowerment zones/enterprise communities:
- f. Defense conversion and industrial restructuring;
- g. Assistance to colleges and universities, including community colleges in implementing technology and/or developing curricula for economic development; and
- h. Other relevant economic development issues.
- Presents an appropriate and clear project design.
- 4. Is proposed by organizations with the capacity, qualifications, and staff necessary to undertake the intended activities.
- 5. Presents a reasonable, itemized budget for the proposed activities.

## Pre-Application Procedure

Potential applicants should submit one original and two copies of a brief and concise proposal, not to exceed 20 pages. Vita and capability information may be appended.

Proposals should include:

1. A cover page giving a short descriptive project title, the name and address of the performing organization, the name and telephone number of the project director, the project duration, the project costs, the amount of EDA funds requested, and the program (National Technical Assistance) from which EDA funds are being requested;

- 2. A brief scope-and-objectives section indicating why the project is needed, giving its objectives, and providing a capsule description of the project;
- 3. A more detailed description of the project and its methodology;
- 4. A work plan showing different phases of the project and their timing;
- 5. A detailed budget, not to exceed one page, showing cost breakdowns, with EDA-funded and non-EDA-funded costs presented in separate columns and with the EDA-funded costs adding to the total shown on the cover page;
- 6. Resumes for the project director and principal staff; and
- 7. A corporate or institutional capability statement, where appropriate.

Proposals should be submitted to the Director, Technical Assistance and Research Division, Economic Development Administration, Room 7315, U.S. Department of Commerce, Washington, DC 20230.

## Application Procedures

The Technical Assistance and Research Division will invite entities whose proposals for National Technical Assistance projects are selected for further consideration to submit application packages. Such invitation, however, does not assure EDA funding. The application will include a Standard Form-424 (OMB Control No. 0348–0043).

## Eligibility for Specific Solicitations

EDA may, during the course of the year, identify additional specific economic development technical assistance activities. Organizations and individuals interested in being invited to respond to Solicitations of Applications (SOAs) to conduct such work should submit information on their capabilities and experience to the Director, Technical Assistance and Research Division, Economic Development Administration, Room 7315, U.S. Department of Commerce, Washington, DC 20230.

## Further Information

For further information about National Technical Assistance projects contact the Technical Assistance and Research Division, telephone (202) 482– 2127.

## V. Program: University Center Technical Assistance Projects

(Catalog of Federal Domestic Assistance: 11.303 Economic Development-Technical Assistance) 13 CFR Part 307, Subpart A

### Authority

Funds for the basic University Center projects are used as seed money to help

selected colleges and universities mobilize their own and other resources to assist in the economic development of distressed areas. The efforts of university centers should focus on helping public bodies, nonprofit organizations and businesses plan and implement activities designed to generate jobs and income. In addition, funds may be used for projects which promote the goals of the University Center program in other ways that demonstrate innovative economic development. Support for these types of projects is authorized under Section 301(a) of the Public Works and Economic Development Act of 1965, as amended, 42 U.S.C. 3151(a).

## Eligibility

Eligible applicants for University Center grants are public and private colleges and universities, associations representing such institutions, and other organizations with expertise in University Center program issues.

## Program Description

This program helps educational institutions establish and maintain outreach programs which permit them to be active and on-going participants in economic development. The objective of the program is to use the resources of the educational institutions to provide technical assistance to alleviate or prevent conditions of excessive unemployment or underemployment and problems of distressed populations in individual states or substate areas. The technical assistance provided under this program must be directed to clients outside the institution of higher education.

### Funding Availability

The FY 1995 budget for this program is \$7.57 million. While the expected funding range for each award is \$75,000 to \$150,000, circumstances may warrant funding projects at higher or lower levels. In FY 1994, EDA provided funding to 66 colleges and universities under this program. Since most of them are expected to seek continuation funding, it is unlikely that any new University Centers will be funded in FY 1995.

## Matching Requirements

A minimum match, equal to 25 percent of the total project cost, is required.

## Type of Funding Instrument

This program uses grants as its funding instrument.

#### Award Period

The project period for grants awarded under this program generally will not exceed twelve months.

#### Indirect Costs

EDA encourages applicants to waive indirect costs for this program. No more than 20 percent of the Federal grant and the minimum nonfederal share may be allocated for indirect costs.

## Application Procedures

The appropriate EDA regional office will invite entities whose proposals for University Center Technical Assistance projects are selected for further consideration to submit application packages. The application will include a Standard Form 424 (OMB Control No. 0348–0043).

## Project Funding Priorities

Innovative proposals with a variety of economic development foci are welcome. However, applicants should note that EDA will not award University Center Technical Assistance program funds to provide general business assistance (e.g., preparing business plans) in areas served by Small Business Development Centers (SBDCs), Minority **Business Development Centers** (MBDCs), or Trade Adjustment Assistance Centers (TAACs). Most successful proposals funded in the recent past have emphasized providing technical assistance to public sector and nonprofit organizations related to economic development planning and projects, or helping private sector firms use technology to solve manufacturing or processing problems.

# Evaluation Criteria

For existing University Centers, EDA will primarily consider past performance in determining whether to continue the award and the amount of funding to be offered. In evaluating a proposal for new University Center funding, EDA will consider the elements listed below. Evaluation criteria are not assigned weights, as all factors are equally important and contribute to the overall goals described above.

- 1. Addresses the economic development needs of the service area;
- 2. Complements the activities of other organizations in the proposed service area that are engaged in economic development;
- 3. Has the commitment of the highest management levels of the sponsoring institution;
- 4. Provides evidence of adequate nonfederal financial support, either

from the sponsoring institution or other sources:

5. Outlines activities consistent with the expertise of the proposed University Center staff and the academic programs and other available resources within the sponsoring institution;

6. Complements and supports the local, regional or state economic development strategies in the service

7. Presents a reasonable budget, including both the Federal and nonfederal shares;

8. Documents past experience of the sponsoring institution in operating technical assistance programs; and

Adds to the geographic distribution of University Centers across the country.

All proposals must include a work program organized under the following categories: (1) providing technical assistance; (2) conducting applied research; and (3) disseminating results of the University Center activities. For this program, technical assistance is defined as an activity carried out by the University Center in response to a direct request from a client outside the sponsoring educational institution. Applied research is defined as an economic development activity undertaken by the University Center at its own initiative.

All proposals must include quantitative and qualitative evaluation criteria for each activity listed under the three categories of the work program. These criteria, when accepted by EDA, will be the primary guide used in evaluating the performance of the University Center.

All proposals must include a program budget that, at a minimum, indicates the Federal and nonfederal funds allocated to each of the three work program categories. At least 70 percent of the direct costs of the project budget must be allocated to the first category of the work program, providing technical assistance to clients outside the sponsoring institutions.

## Selection Procedures

The EDA regional offices review the proposals and make recommendations for funding of new grants to the Assistant Secretary for Economic Development, who makes the final decisions based on the relative merits of the applications.

## Proposal Submission Procedures for Continuation Grants

Each existing University Center will be notified by the appropriate regional office of its eligibility for continuation funding. At that time, University Centers eligible for continuation funding will be notified of the amount of the required nonfederal share and provided with additional program guidance.

Proposal Submission Procedures for New Basic Grants

Institutions seeking funding for a University Center should submit a proposal describing the activities to be carried out with the grant funds, to the appropriate EDA regional office, or to the EDR for the area. (See EDA regional office and EDR listing in Section XII of the announcement.)

#### Further Information

For further information about the University Center Technical Assistance program contact the appropriate regional office or EDR (Section XII) or the University Center Coordinator, Technical Assistance and Research Division, Economic Development Administration, Room H7315, U.S. Department of Commerce, Washington, D.C. 20230, telephone (202) 482–2127.

## VI. Program: Planning Assistance for Economic Development Districts, Indian Tribes, and Redevelopment Areas

(Catalog of Federal Domestic Assistance: 11.302 Economic Development—Support for Planning Organizations) 13 CFR Part 307, Subpart D

## Authority

Funds under this program are awarded to defray administrative expenses in support of the economic development planning efforts of economic development districts (Districts), redevelopment areas (Areas) and Indian tribes. This program is authorized under Section 301(b) of the Public Works and Economic Development Act of 1965, as amended, 42 U.S.C. 3151(b).

#### Eligibility

Eligible applicants are economic development districts, redevelopment areas, organizations representing redevelopment areas (or parts of such areas), Indian tribes, organizations representing multiple Indian tribes, the Federated States of Micronesia, the Republic of the Marshall Islands, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

## Program Objective

The primary objective of planning assistance for administrative expenses under section 301(b) is to support the formulation and implementation of economic development programs

designed to create or retain full-time permanent jobs and income, particularly for the unemployed and underemployed in the most distressed areas served by the applicant. Planning activities supported by these program funds must be part of a continuous process involving significant leadership by public officials and private citizens.

## Funding Availability

Funds in the amount of \$21.484 million are available in two categories: Districts/Areas (Category A)—\$18.583 million; and Indian tribes (Category B)—\$2.901 million. Individual awards may vary but generally will not exceed \$57,000.

# Funding Instrument

Grant assistance can be provided for up to 75 percent of project costs for Category A grants with the applicant required to provide the remaining share from local (non-federal) sources. Category B grant assistance may be provided for up to 100 percent of project costs.

## Project Duration

Assistance will normally be for a period of twelve months.

#### Evaluation Criteria

Evaluation criteria will not be assigned weights. EDA will consider the elements listed below in order to evaluate funding requests.

1. The responsiveness of the proposed work program to the program regulations contained in 13 CFR 307.22;

2. Past performance of any currently funded grantee (including information provided in scheduled progress reports);

3. The economic distress of the area served by the applicant;

4. Management and staff capacity and qualifications in economic program/policy development and operations;

5. The local leaders' involvement in the applicant's economic development activities; and

6. Priority consideration will be given to currently funded grantees.

## Proposal Submission Procedures

Application procedures may be obtained from EDA's regional offices for the following:

a. Currently funded planning

a. Currently funded planning grantees; and

b. Proposals from applicants not currently funded under Categories A or B, that would fit into either of those

categories. Eligible applicants under both Categories A and B should submit

proposals which include:
1. A letter signed by the chief elected

official (Chairman of the Board, Tribal

Chairman) or another legally authorized official of the applicant stating the organization's desire to receive funds to carry out the types of planning and administrative activities eligible under the 301(b) program.

2. Significant, verifiable information on the level of economic distress in the area, including unemployment and income data. Any major changes in distress levels during the past year should be described.

3. A work program outlining the specific development activities that will be carried out under the grant and explaining how they relate to the problems identified in the area OEDP, annual report, or other documents.

New applicants should submit one copy of the proposal to the appropriate economic development representative, and an original and one copy to the appropriate EDA regional office. Addresses of the EDA regional offices and listing of the economic development representatives are found in Section XII of this Notice.

## Formal Application Procedures

EDA regional offices will contact currently funded grantees to inform them of the procedures for submitting applications for continuation funding.

Following review of the proposals submitted, EDA will invite those selected for funding consideration to submit formal applications. Funding levels will be determined by the economic distress and need of the area served by the applicants, past performance of previously funded grantees, and availability of program funds. The application will include an SF–424 (approved by the Office of Management and Budget Control No. 0348–0043), proposed budget, scope of work and staffing plan.

# Further Information

For further information contact the appropriate economic development representative, EDA regional office (see Section XII of this Notice), or the Director, Planning Division, Economic Development Administration, Room 7319, U.S. Department of Commerce, Washington, DC 20230; telephone (202) 482–3027.

# VII. Program: Planning Assistance for States and Urban Areas

(Catalog of Federal Domestic Assistance: 11.305 Economic Development—State and Urban Area Economic Development Planning) 13 CFR Part 307, Subpart D

### **Authority**

Funds under the State and Urban Planning Program are awarded to defray administrative expenses in support of economic development planning efforts of eligible applicants. This program is authorized under Section 302(a) of the Public Works and Economic Development Act of 1965, as amended, 42 U.S.C. 3151a.

## Eligibility

Eligible applicants under this program are the governors of states, the chief executive officers of cities and counties, and substate planning and development organizations (including redevelopment areas and economic development districts).

## Program Objective

The primary objective of planning assistance under section 302(a) is to strengthen significant economic development planning capability and initiatives of eligible applicants to ensure a more productive use of available resources in reducing the effects of economic problems, particularly in those areas experiencing severe economic distress.

Assistance must be part of a continuous process involving significant local leadership from public officials and private citizens and should include efforts to reduce unemployment and increase incomes. These efforts should be systematic and coordinated, when applicable, with other planning organizations in the area, and should strengthen the planning capabilities of applicants.

Activities eligible for support include economic analysis, definition of development goals, determination of project opportunities, development of economic development policies, processes and procedures, and formulation and implementation of an economic development program.

Planning program funds will not be used to provide support to activities that more appropriately meet the criteria for funding under the EDA Technical Assistance programs.

EDA is interested in proposals for planning activities designed to address problems of economically-distressed segments of the population. Funding priority will be given to proposals promoting exports; entrepreneurship; and technology initiatives including innovation, transfer, commercialization, high value manufacturing through collaboration, or that reduce barriers to the development of new businesses. In the case of proposals from states, EDA is particularly interested in innovative approaches to planning and implementing economic development initiatives such as tourism development, manufacturing technology, and

sustainable growth, as well as efforts that lend themselves to replication in other areas.

## Funding Availability

Funds in the amount of \$4.87 million are available for providing grant assistance under this program. Individual grants under this program generally will range between \$50,000 to \$200,000.

## Funding Instrument

Grant assistance may be provided for up to 75 percent of project costs. Applicants will be required to provide the remaining share, preferably in cash. Applications for grants exceeding \$200,000 may be given low funding priority. EDA may consider proposals for smaller grants to support the aforementioned appropriate activities.

#### Project Duration

Assistance will be for the period of time required to complete the work. This period is normally 12 to 18 months. If Congress makes funds available for this program in subsequent years, grantees may submit applications for appropriate projects for up to a total of three awards.

## Evaluation Criteria

Evaluation criteria will not be assigned weights. Projects will be evaluated on the basis of the elements listed below to meet the goals described above. The content of the development plan and overall quality of the proposal and the economic distress of the area will be the principal factors considered in evaluating proposals from eligible entities. In assessing the distress factor, priority consideration will be given to proposals from states and urban areas experiencing substantial economic distress. In the case of urban areas, high priority will be given to those with unemployment rates two or more percentage points higher than the U.S. average and per capita income levels 80 percent or less of the U.S. average. For states, high priority will be given to those that meet both of the above criteria, as well as those that meet one of the above criteria and have distress equal to or greater than the national level for the other criterion. The most recent per capita income and 24-month average unemployment data available will be used to measure economic distress.

Proposals from states or urban areas which do not exhibit significant distress on the basis of unemployment or income data will not be considered unless other acceptable evidence of substantial distress is provided by the

applicant.

Proposals from states and urban areas which are both below the U.S. national unemployment rate and above the national per capita income are unlikely to be funded.

Proposals will be evaluated on the basis of the elements listed below.

1. Appropriateness of the work program to the section 302(a) program objectives;

2. The economic distress of the area

served by the applicant;

- 3. Extent to which the proposed planning activities are expected to impact upon the service area's economic development needs, and the extent to which the proposal addresses the problems of the unemployed and underemployed of the area, including minorities, workers displaced by plant closings, etc.;
- 4. The proximity of the performing office to the chief executive (i.e., likelihood that the activities will have a significant influence on the policy and decision making process);
- 5. Past performance of currently or formerly funded grantees, when applicable;
- 6. The amount of local participation provided as matching dollars to the Federal funds; and
- 7. Other characteristics, such as involvement of the private sector businesses and professional groups in the proposed activities, and particularly for states, the innovativeness of the proposed approach and replicability of the model process or results.

#### Proposal Submission Procedures

Potential applicants should submit

proposals that include:

- 1. A letter, signed by the chief executive of the applicant organization, indicating a desire to receive funds to carry out the planning activities outlined in the proposal; where the funded planning program will be placed in the organization, including the name and title of the person to be responsible for program implementation; the amount and for what period funding is being requested; and the anticipated funding arrangement if the planning activity is to continue beyond the period of EDA support.
- 2. Significant, verifiable information on the level of economic distress in the area, including unemployment and income data. Any major changes in distress levels during the past year should be described.
- 3. Information indicating the applicant's commitment to the proposed work program as demonstrated by amount of local funding and the degree

of interest displayed by the chief executive.

4. A time chart showing all major work program elements, projected element start and completion dates, and the related financial expenditures programmed for each work element.

5. A work program of no more than 10 pages which outlines the specific planning activities that will be carried out under the grant and specifies which activities will be handled by in-house staff, consultants, etc. The work program should also explain the analysis and basis of the need for the proposed activities, expected impacts and their timing, target population(s), and involvement of the private sector in the proposed activities.

Current grantees seeking additional funding under this announcement should comply with the instructions of this notice. Current grantees must also include a 3-5 page progress report for the current grant when they submit the proposal and at the time they forward

the formal application.

One copy of the proposal should be sent to the appropriate economic development representative, and an original and one copy to the appropriate EDA regional office. The EDA regional office and the name, address and telephone number of the economic development representative for the applicant's area can be found in Section XII of this Notice.

# Formal Application Procedures

EDA will evaluate proposals using the selection criteria cited above. Once the merits of the proposal are established, EDA will initiate discussions with the prospective applicant to clarify and improve elements of the proposal, if necessary, and will invite those whose proposals are selected for funding consideration to submit formal applications, which will include an SF-424 (OMB Control No. 0348–0043), scope of work, proposed budget, staffing plan and other requested information. It should be noted that an invitation to submit a proposal does not assure EDA funding. Applications will be processed as they are received. Applications received after FY 1995 funds are exhausted may be retained by EDA for consideration for funding the following fiscal year, assuming funds are available.

## Further Information

For further information contact the appropriate economic development representative, EDA regional office (see Section XII of this Notice), or the Director, Planning Division, Economic Development Administration, Room

7319, U.S. Department of Commerce, Washington, DC 20230; telephone (202) 482 - 3027.

## VIII. Program: Research and Evaluation **Projects**

(Catalog of Federal Domestic Assistance: 11.312 Economic Development—Research and Evaluation Program) 13 CFR Part 307, Subpart C

## Authority

Funds under the Research and Evaluation Program are used to support studies that will increase knowledge about the causes of economic distress and approaches to alleviating such problems. This program is authorized under Section 301(c) of the Public Works and Economic Development Act of 1965, as amended, 42 U.S.C. 3151(c).

## Eligible Applicants

Eligible applicants for research and evaluation grants or cooperative agreements include private individuals, partnerships, corporations, associations, colleges and universities, and other suitable organizations with expertise relevant to economic development research.

## Program Objective

The objectives of section 301(c) grants and cooperative agreements are the following:

- 1. To determine the causes of unemployment, underemployment, underdevelopment, and chronic depression in various areas and regions of the Nation.
- 2. To assist in the formulation and implementation of national, state, and local programs that will raise employment and income levels and otherwise produce solutions to problems resulting from the above conditions.
- 3. To evaluate the effectiveness of programs, projects, and techniques used to (a) alleviate economic distress and (b) promote economic development.

## Funding Availability

Funds in the amount of \$500,000 are available for this program. Funds will be used for projects selected through the application procedures described below and through EDA-initiated solicitations. Individual awards may vary but generally will not exceed \$100,000.

## Funding Instrument

EDA will provide grants and cooperative agreements covering up to 100 percent of project costs.

## Project Duration

Assistance under this program will normally be for a period not exceeding 15 months.

#### Evaluation Criteria

To meet the goals described above, EDA will use the following unweighted criteria to evaluate research and evaluation proposals:

1. Suitability of the subject.

2. Potential usefulness of the research to state and local economic development officials and specialists.

3. General quality and clarity of the proposal.

4. Soundness and completeness of the research methodology.

5. Qualifications of principal investigator(s) and, where appropriate, performing organization(s).

6. Previous performance of principal investigator or performing organization on EDA-funded projects, if applicable.

7. Cost and value of proposed product in relation to cost.

EDA is interested in receiving proposals dealing with:

1. Employment and unemployment;

2. Income and poverty;

3. Rural and nonmetropolitan economic development;

4. Urban economic development;

- 5. Regional and local growth and competitiveness;
- 6. Industrial location, industrial networks, and industrial clustering;
- 7. Sustainable development and growth management;

8. Minority businesses and employment;

9. Export development;

10. Development finance; and

11. Productivity and technology.

Requests should be for specific, welldefined, one-time research projects. EDA research grants are not intended for support of continuing programs (permanent research programs, publication and information programs, periodic forecasts, etc.), or for nonresearch activities. EDA normally prefers research of broad geographic scope, that covers the whole country or a large multistate region, as opposed to research covering (in declining order of preference) a small region, a state, a multicounty area, or a single city or county. Preference will be given to practical cause-and-effect research and descriptive analyses, and funding for such will receive higher priority than theoretical studies, modeling (other than for hypothesis testing), etc. Economic development planning and technical assistance will not be funded under the Research and Evaluation Program; the Planning and Technical Assistance Programs are for those purposes.

## Proposal Submission Procedures

Potential applicants should submit one original and two (2) copies of a brief and concise proposal, not to exceed 20 pages, and vita and capability information. Proposals should avoid long background discussions and literature surveys, but should be reasonably detailed, particularly in explaining value to EDA, methodology, and data sources.

Each proposal should include:

1. A cover page stating that funding is sought under EDA's Research and Evaluation program, giving a short descriptive project title, the name and address of the performing organization, the names and telephone numbers of the project director and principal investigators, the project duration, the amount of EDA funds requested, and total project cost;

2. Å brief scope-and-objectives section indicating why the project is needed, giving its objectives, and providing a capsule description of the project;

3. A more detailed description of the

project and its methodology;

4. A work plan showing different phases of the project and their timing;

5. A detailed budget, not to exceed two pages, showing cost breakdowns, with EDA-funded and non-EDA-funded costs presented in separate columns, where applicable, and with the EDA-funded costs adding to the total shown on the cover page;

6. Resumes for the project director and principal investigators; and

7. A corporate or institutional capability statement, where appropriate.

The cover letter accompanying the proposal should inform EDA of whether any other organization(s) or Federal agency(ies) is or will be considering the proposal. Any non-EDA contributions to the project, whether by the performing organization or third parties, should be identified.

The deadline for receipt of proposals will be three months after the date of this announcement.

Proposals should be submitted to the Director, Technical Assistance and Research Division, Economic Development Administration, Room 7315, U.S. Department of Commerce, Washington, DC 20230.

## Formal Application Procedures

EDA will evaluate the proposals as they are received using the selection criteria described above. Organizations and individuals whose proposals are selected for further consideration will be invited to submit an application. The formal application will include an SF–424 (OMB Control No. 0348–0043).

Eligibility for Specific Solicitations

In addition to using research and evaluation funds to support proposals submitted under the procedures described above, EDA may during the fiscal year identify other studies, including program evaluations, for funding consideration.

Organizations and individuals interested in being invited to respond to Solicitations of Applications (SOAs) to conduct such studies should submit information on their capabilities and experience to the address listed above. This information will be used to determine eligibility to compete for projects under specific SOAs.

## Further Information

For further information, contact the Director, Technical Assistance and Research Division, at the above address; telephone, (202) 482–4085.

## IX. Program: Economic Adjustment Assistance (Title IX)

(Catalog of Federal Domestic Assistance No. 11.307 Special Economic Development and Adjustment Assistance Program—Long-Term Economic Deterioration (LTED) and Sudden and Severe Economic Dislocation (SSED)) 13 CFR Part 308

## Authority

Funds under the Economic Adjustment Program are used to assist areas experiencing changes in their economic situation which are causing, or threaten to cause, serious structural damage to the underlying economic base. Such changes may occur suddenly or over time, and result from industrial or corporate restructuring in response to technological advancements or changes in the marketplace, new Federal laws or requirements, reductions in defense expenditures, depletion of natural resources and natural disasters. Strategy grants provide the resources for organizing and conducting a strategic planning process and Implementation grants support one or more activities identified in an adjustment strategy approved, though not necessarily funded by EDA. Such activities include but are not limited to: the creation or expansion of strategically targeted business development and financing programs, infrastructure improvements, organizational development, and market or industry research and analysis. This program is authorized under Title IX of the Public Works and Economic Development Act of 1965, as amended, 42 U.S.C. 3241-3245.

# Program Objective

The purpose of the Economic Adjustment Program is to enable communities in affected areas to meet the challenge of economic change, constructively and effectively, through strategies for inducing capital investment in production of the types of goods and/or services for which the community may have or be able to develop a comparative economic advantage, and which will lead to saving and/or creating permanent jobs. In providing funding to support the adjustment process, EDA's objectives are to: (1) Provide impacted communities with the skills and knowledge needed to organize and carry out a strategic planning process focusing on increasing the productivity and competitiveness of a community's assets, for example, existing industries and business acumen, natural resources, and labor force skills; (2) expand the capacity of public officials and development organizations to work more effectively with their business community to identify and address unmet needs of strategically targeted firms for management assistance, access to information to help with marketing and modernization and finding sources of financing, and in organizing collaborative alliances among firms for such activities as product and market research, and new product development; (3) assist communities overcome critical impediments to implementing their adjustment strategy, such as a lack of available financing for the targeted businesses or weaknesses in their economic infrastructure for which no other sources of funding, including EDA's Title I Program, are available; (4) enable communities to coordinate and strategically target Federal resources available to support economic recovery from Federal actions adversely affecting a major industrial sector and/or the economy of a discrete geographic region, and from natural disasters; and (5) encourage the development of innovative public/private approaches to economic restructuring and revitalization.

## Funding Availability

Funds in the amount of \$45 million are available for the Economic Adjustment Program in FY 1995. Grants awarded under this program generally range between \$25,000 and \$5 million.

# Funding Instrument

Title IX funds are awarded through grants generally not to exceed 75 percent of the project cost. Acceptable sources of the local share include, but are not limited to, local government general revenue funds; Community Development Block Grant (CDBG) entitlement funds or balance of state

awards; and other public and private donations. The Assistant Secretary may waive all or part of the 25 percent nonfederal share of economic adjustment assistance grants, if he determines that the nonfederal share is not reasonably available because of the critical nature of the situation requiring economic adjustment assistance or for other good cause. The full amount of the local share need not be in hand at the time of application; however, the applicant must have a firm commitment from identified source(s), and the funds must be readily available. The local share must not be encumbered in any way that would preclude its use as required by the grant agreement. The local share for grants to establish or recapitalize a revolving loan fund (RLF) must be in cash, and while the local share for grants for other activities may be cash or in-kind, priority consideration will be given to proposals with a cash local share.

## Eligible Applicants

Eligible applicants within areas meeting the EDA eligibility criteria described below include a redevelopment area or economic development district established under Title IV; an Indian tribe; a state; a city or other political subdivision of a state, or a consortium of such political subdivisions; a Community Development Corporation defined in the Community Economic Development Act of 1981, 42 U.S.C. 9802 (i.e., **Community Development Corporations** receiving financial assistance under the authority of the Community Services Block Grant Act, as amended, 42 U.S.C. 9910(d)); a nonprofit organization determined by EDA to be the representative of a redevelopment area for purposes of the particular project: the Federated States of Micronesia, the Republic of the Marshall Islands, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

## Eligible Areas

The area(s) to be assisted by the applicant generally must be eligible on the basis of the criteria, described below, for establishing that it is experiencing either Long-Term Economic Deterioration or a Sudden and Severe Economic Dislocation.

# A. Long-Term Economic Deterioration (LTED)

To receive priority consideration for funding as an LTED area, the area must be experiencing at least one of three economic problems: very high unemployment; low per capita income; or chronic distress (i.e., failure to keep pace with national economic growth trends over the last five years). Priority will be given to those areas with two or more of these indicators. Eligibility is generally determined statistically. Further information is available from EDA's regional offices or the economic development representative for your area (see Section XII of this Notice).

# B. Sudden and Severe Economic Dislocation (SSED)

To receive priority consideration for funding as an SSED area, the area must show actual or threatened permanent job losses that exceed the following threshold criteria, unless otherwise determined by the Assistant Secretary:

1. For areas not in Metropolitan Statistical Areas:

a. If the unemployment rate of the Labor Market Area exceeds the national average, the dislocation must amount to the lesser of two (2.0) percent of the employed population, or 500 direct jobs.

b. If the unemployment rate of the Labor Market Area is equal to or less than the national average, the dislocation must amount to the lesser of four (4.0) percent of the employed population, or 1,000 direct jobs.

2. For areas within Metropolitan Statistical Areas:

a. If the unemployment rate of the Metropolitan Statistical Area exceeds the national average, the dislocation must amount to the lesser of one-half (0.5) percent of the employed population, or 4,000 direct jobs.

b. If the unemployment rate of the Metropolitan Statistical Area is equal to or less than the national average, the dislocation must amount to the lesser of one (1.0) percent of the employed population or 8,000 direct jobs.

In addition, fifty (50) percent of the job loss threshold must result from the action of a single employer, or eighty (80) percent of the job loss threshold must occur in a single standard industry classification (i.e., two digit SIC code).

In the case of a Presidentially declared natural disaster, the area eligibility criteria are waived. In other exceptional circumstances, the criteria may be partially or fully waived at the discretion of the Assistant Secretary.

Actual dislocations must have occurred within one year and threatened dislocations must be anticipated to occur within two years of the date EDA is contacted.

## Project Duration

Projects are expected to be completed in a timely manner consistent with the nature of the project. However, the maximum period for which assistance will be provided shall not be more than five years from date of award.

## Evaluation Criteria

All proposals, regardless of the basis for the area's eligibility, will be screened for conformance to statutory and regulatory requirements, the relative severity of the area's economic problem, the quality of the strategy developed (or scope of work for the strategy to be developed) to address the problem, the merits of the activity(ies) for which funding is requested, and ability of the prospective applicant to carry out the proposed activity(ies) successfully.

Particular program requirements and factors are not weighted in EDA's evaluation of proposals as all factors are equally important. Projects will be evaluated on the basis of the elements listed below in order to meet the goals described above.

## A. For Strategy Grants

- 1. The applicant organization has the necessary authority, mandate and capacity to lead and manage the planning process and implementation of the resulting strategy.
- 2. The planning process provides for the representation of public and private sector entities with a contribution to make to the development of the strategy and/or on which accomplishment of the strategic objectives will depend. These entities include public program and service providers, trade and business associations, educational and research institutions, community development corporations, etc.
- 3. The proposed scope of work focuses on the specific economic problems to be addressed and provides for undertaking the appropriate research and analysis needed to formulate a realistic, market-based, adjustment strategy.

## **B.** For Implementation Grants

- 1. Any activity or project proposed for funding must generally be clearly identifiable as an integral and priority element within an adjustment strategy for the eligible area(s) prepared or updated within the preceding two years. The strategy should address and/or provide evidence of the following:
- a. An appropriately designed and conducted planning process;
- b. A thorough understanding of the economic problems being addressed;
- c. A sound analysis of the industry sectors and the firms within them that comprise the area's economic base, and of the particular strengths and weaknesses of the area that contribute

to, or detract from, its current and potential economic competitiveness.

- d. Strategic objectives that flow from the economic analysis and conclusions and focus on stimulating investment in new and/or expanding economic activities that offer the best prospects for revitalization and growth;
- e. Appropriate and necessary resources in the area and elsewhere have been/will be mobilized and coordinated to support implementation of the strategy; and
- f. The performance measures which the applicant will use to assess progress toward accomplishing its strategic objectives.
- 2. All individual activities or projects proposed for funding must be consistent with one or more of the Economic Adjustment Program objectives stated above. Particular consideration will be given to proposals involving innovative approaches to building and implementing public/private and multifirm partnerships for such purposes as new product development and marketing, and dissemination and application of new production technologies and management practices.
- 3. Proposals for capitalizing or recapitalizing a RLF must in addition describe and/or provide evidence of:
- a. The need for a new or expanded public financing tool to complement other business assistance programs and services available to firms and/or would-be entrepreneurs in industry sectors and/or locations targeted by the adjustment strategy;
- b. The types of financing activities anticipated; and
- c. The prospective RLF's organizational capacity to work effectively with the business community and other financing providers, to function as an integral part of the overall economic adjustment effort and to manage the lending function.

## Proposal Submission Procedures

Interested parties should contact the economic development representative for the area or the appropriate EDA regional office (see Section XII of this Notice) for a proposal package.

## Application Procedures

Following a review of project proposals, EDA will invite those proponents of projects selected for funding consideration to submit applications. It should be noted that an invitation to apply does not assure funding. The application will include an ED–540, as approved by the Office of Management and Budget Control No. 0610–0058. Applicants for RLF funding are generally required to submit a RLF

Plan in addition to the adjustment strategy for the area. Guidelines on RLF's are available from the regional offices.

#### Further Information

For further information, contact the appropriate economic development representative, EDA regional office (see Section XII of this Notice), or the Director, Economic Adjustment Division, Economic Development Administration, Room 7327, U.S. Department of Commerce, Washington, DC 20230; telephone (202) 482–2659.

# X. Program: Defense Conversion Assistance

(Catalog of Federal Domestic Assistance No: 11.307 Special Economic Development and Adjustment Assistance Program—Long-Term Economic Deterioration (LTED) and Sudden and Severe Economic Dislocation (SSED)) 13 CFR Part 308

## Authority

Funds under the Defense Conversion program are used to enable areas where economies are adversely impacted by reductions in defense spending, to facilitate the orderly redeployment of their defense created assets to activities which help restructure and/or strengthen their economic base. Areas experiencing closure of a military facility, a defense related Department of Energy facility and/or reductions in defense procurements may be eligible for assistance. This program is authorized under section 4103(b) of Division D of Public Law 101-510 for FY 1991, and section 4305 of Division D of Public Law 102-484 for FY 1993, 10 U.S.C. 2391 note; and by the Public Works and Economic Development Act of 1965, as amended, 42 U.S.C. 3131, 3151(a), 3151(b), and 3171(a)(3).

## Program Objective

The program is designed to provide defense impacted communities with the resources to develop and/or carry out programs and projects, singly or in combination, that support implementation of a defense conversion strategy or base re-use plan. Commonly funded types of programs and projects include, but are not limited to: planning and strategy development (only as may be necessary to complement and expand work funded by the Office of Economic Adjustment, Department of Defense); research and analysis, such as marketing and feasibility studies; design and delivery of conversion or development assistance and services for affected businesses, typically smaller defense contractors in order to, for example, fill gaps in available technical services, developing collaborative alliances for

new product and market development, and establishing or expanding financing programs for targeted businesses; and infrastructure improvements needed to facilitate the re-use of former military facilities.

Assistance may be provided directly to affected communities, and also through other entities, such as states or regional development organizations, when to do so would result in more effective and efficient delivery of a particular service or program.

# Funding Availability

Funds in the amount of \$120 million are available for the Defense Conversion program in FY 1995. Grants awarded under this program generally range between \$25,000 and \$5 million.

**Note:** The Selection Criteria and Application Procedures set forth in this announcement supersede those previously announced in the **Federal Register** of May 6, 1993 (58 FR 27188), and apply to the unobligated balance of the \$80 million authorized under section 4305 of Division D of Public Law 102–484 and of the \$50 million authorized under section 4103(b) of Division D of Public Law 101–510.

## Funding Instrument

Assistance is in the form of grants, which will normally be awarded under the authority of EDA's Title IX Economic Adjustment program. However, grants for assistance under the Defense Conversion program may also be made to applicants eligible for assistance under the Title I Public Works and the Title III Technical Assistance, Research and Planning programs.

Title IX grants may be awarded for up to 75 percent of the total project cost. Acceptable sources of the local share include, but are not limited to, local government general revenue funds; Community Development Block Grant (CDBG) entitlement funds or balance of state awards; and other public and private donations. The Assistant Secretary may waive all or part of the 25 percent nonfederal share of economic adjustment assistance grants, if he determines that the nonfederal share is not reasonably available because of the critical nature of the situation requiring economic adjustment assistance or for other good cause. The full amount of the local share need not be in hand at the time of application; however, the applicant must have a firm commitment from identified source(s), and the funds must be readily available. The local share must not be encumbered in any way that would preclude its use as required by the grant agreement. The local share for a revolving loan fund

project must be in cash, and while the local share for other types of defense conversion activities may be cash or inkind, priority consideration will be given to proposals with a cash local share.

For information regarding local share requirements for the Title I Public Works and the Title III Technical Assistance, Research and Planning programs, Sections II, IV and VI of this Announcement should be consulted.

In accordance with Public Law 103-317, financial assistance may be provided for projects to be located on military installations closed or scheduled for closure or realignment to eligible grantees under PWEDA without it being required that the grantee have title or ability to obtain a lease for the property, for the useful life of the project when, in the opinion of the Secretary of Commerce such financial assistance is necessary for the economic development of the area; and the Secretary of Commerce may, as he or she deems appropriate, consult with the Secretary of Defense regarding title to the land on military installations closed or scheduled for closure or realignment.

## Eligible Applicants

Eligible applicants within areas meeting the eligibility criteria described below include a redevelopment area or economic development district established under Title IV of this Act, 42 U.S.C. 3161; an Indian tribe; a state; a city or other political subdivision of a state, or a consortium of such political subdivisions; a Community Development Corporation defined in the Community Economic Development Act of 1981, 42 U.S.C. 9802 (i.e., **Community Development Corporations** receiving financial assistance under the authority of the Community Services Block Grant Act, as amended, 42 U.S.C. 9910(d)); a nonprofit organization determined by EDA to be the representative of a redevelopment area; and the Federated States of Micronesia, the Republic of the Marshall Islands, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

For assistance under the Title I Public Works program, and Title III Technical Assistance, Research and Planning programs, Sections II, IV and VI of this Announcement should be consulted.

Applicants must meet either the Department of Defense criteria or the Economic Adjustment program criteria described in A and B below:

- A. Department of Defense Criteria
- (1) In the case of a proposed or actual establishment, realignment, or closure of a military installation, where the Secretary of Defense determines that such action is likely to have a direct and significantly adverse consequence on the affected community.
- (2) In the case of a publicly announced planned reduction in DOD spending, the cancellation or termination of a DOD contract, or the failure to proceed with a previously approved major defense acquisition program, assistance may be provided only if the reduction, cancellation, termination, or failure will have a direct and significant adverse impact on a community and will result in the loss of the lesser of:
- (a) 2,500 or more employee positions, in the case of a Metropolitan Statistical Area (MSA) or similar area (as defined by the Director of the Office of Management and Budget);
- (b) 1,000 or more employee positions, in the case of a labor market area outside of an MSA; or
- (c) one percent of the total number of civilian jobs in that area.

#### B. EDA Criteria

The dislocation must satisfy one of the following criteria (in exceptional circumstances, the criteria may be partially waived by the Assistant Secretary for Economic Development):

- (1) For areas not in MSAs:
- (a) If the unemployment rate of the Labor Market Area exceeds the national average, the dislocation must amount to the lesser of two (2.0) percent of the employed population, or 500 direct jobs.
- (b) If the unemployment rate of the Labor Market Area is equal to or less than the national average, the dislocation must amount to the lesser of four (4.0) percent of the employed population, or 1,000 direct jobs.
  - (2) For areas within MSAs:
- (a) If the unemployment rate of the MSA exceeds the national average, the dislocation must amount to the lesser of one-half (0.5) percent of the employed population, or 4,000 direct jobs.
- (b) If the unemployment rate of the MSA is equal to or less than the national average, the dislocation must amount to the lesser of one (1.0) percent of the employed population, or 8,000 direct jobs.
- Under the Defense program, an area will normally be eligible for up to two years before the announced date for a base closure or contract reduction and for two years after. However, if completion of an area's adjustment strategy is delayed for good reason, the

area's eligibility may be extended for up to one year from the date the strategy is accepted by EDA.

## Project Duration

Projects are expected to be completed in a timely manner consistent with the nature of the project. However, the maximum period for which assistance will be provided shall not be more than five years from date of award for Title I and Title IX projects. For projects under Title III Technical Assistance, Research and Planning programs, assistance will be for the period of time required to complete the scope of work, which typically will not exceed twelve months.

## Evaluation Criteria

Evaluation criteria will not be assigned weights as all factors are equally important. Projects will be evaluated on the basis of the elements listed below in order to meet the goals described above. Key factors in EDA's evaluation of proposed defense conversion projects include:

- 1. The relative severity of the impact of the defense cutbacks on the economic and employment base of the area(s).
- 2. The quality of the area economic adjustment/defense conversion strategy or base reuse plan which is a prerequisite for funding consideration. The plan should address and/or provide evidence of the following:
- a. An appropriately designed and conducted planning process;
- b. The scale and characteristics of the impact of the defense cutbacks on workers, industry sectors and businesses;
- c. Strategic objectives that address the defense related problems and opportunities, are appropriate to the area's particular economic attributes and circumstances, and are based on sound research and analysis;
- d. All appropriate and available Federal, State and local resources, programs, services, etc., have been identified and will be mobilized and coordinated to support implementation of the strategy; and
- e. The performance measures which the applicant will use to assess progress toward accomplishing its strategic objectives.

**Note:** EDA may, in some instances, consider funding a project prior to completion of the strategy/plan, provided that (a) an appropriate community planning process is underway, (b) sufficient analysis has been done to show that the proposed project is economically viable and potentially consistent with the evolving strategy and (c) the proposed project has the support of the community.

3. The degree to which the proposed program/project contributes to:

a. Productive redeployment of defense created assets (facilities, workforce skills, industrial technologies and production capacity);

b. Overcoming critical impediments to a community's ability to progress with implementing its strategy or base reuse plan; and

c. Facilitating/stimulating private sector investment in the production of the types of goods and services that will strengthen the economic base of the area over the long term, and lead to the generation of new employment opportunities and revenue.

4. The capacity of the prospective applicant to administer the proposed project and ensure achievement of the stated objectives.

## Proposal Submission Procedures

When a major defense cutback is announced, EDA's economic development representative for the state in which it is to occur will normally contact officials of the affected community. Otherwise, the interested parties should contact either the economic development representative for the area or the appropriate EDA regional office (see Section XII of this Notice). The economic development representative will track the community's strategy/base reuse planning process and provide information regarding activities/projects that could be considered for EDA assistance. At such time as the planning process is sufficiently advanced for prospective implementation programs and projects to have been identified, the economic development representative will advise the community on the preparation of a short funding proposal.

## Application Procedures

EDA will evaluate proposals using the evaluation criteria cited above. Once the merits of the proposal are established, EDA will work if necessary with the prospective applicant to clarify elements of the proposal and such EDA administrative policies and requirements as may apply to the particular type of program or project for which assistance is being requested. For example, applicants for assistance to capitalize a revolving loan fund (RLF) are generally required to submit a RLF Plan.

When a proposal is selected for funding consideration, EDA will invite the proponent to submit a formal application under the authority of the specified funding program, i.e., Title I, III or IX. The appropriate formal application package will be provided. It

should be noted that an invitation to apply does not assure funding.

## Further Information

For further information, contact the appropriate economic development representative, EDA regional office (see Section XII of this Notice), or the Director, Economic Adjustment Division, Economic Development Administration, Room 7327, U.S. Department of Commerce, Washington, DC 20230; telephone (202) 482–2659.

## XI. Program: Trade Adjustment Assistance

(Catalog of Federal Domestic Assistance: 11.313 Economic Development—Trade Adjustment Assistance) 13 CFR Part 315

## Authority

Funds under the Trade Adjustment Assistance Program are awarded to a network of Trade Adjustment Assistance Centers (TAACs), located around the Nation, which provide technical assistance to certified firms adversely affected by increased imports. This program is authorized under Title II, Chapter 3 of the Trade Act of 1974, 19 U.S.C. 2341–2355.

## Funding Availability

Funds in the amount of \$10.0 million are available for trade adjustment assistance. Nearly all of these funds will be provided to the nationwide network of TAACs through cooperative agreements. Individual awards generally range between \$650,000 and \$1.025 million. No new centers will be funded in FY 1995.

Funds are also awarded under this program to organizations representing trade-injured industries. Limited funds are available for industry technical assistance for continuation of an existing program. No new industry assistance projects are expected to be funded in FY 1995.

## Program Objective

The Trade Adjustment Assistance Program is designed to provide technical assistance to certified firms and industries hurt by the impact of increased imports. The TAACs help firms submit certification petitions to the Trade Adjustment Assistance Division (TAAD) of EDA. If the firm is certified, TAACs provide technical assistance to the firm in developing a strategy to adjust to the import injury. A firm should work closely with the appropriate TAAC in petitioning for certification. Certified firms should also work closely with the appropriate TAAC in diagnosing their problems and developing an adjustment proposal, and in applying for technical assistance if their adjustment proposal is accepted by EDA.

An industry association or other organization interested in receiving an industry assistance cooperative agreement must meet with a TAAD representative to discuss the industry's problems, opportunities, and assistance needs.

## Criteria for Evaluating Industry Assistance Proposals

Industry associations and other organizations seeking trade adjustment industry assistance must demonstrate that the industry is injured by foreign trade and that the activities to be funded will yield some short-term actions that the industry itself (and individual firms) can and will take toward the restoration of the industry's international competitiveness. Evaluation criteria will not be assigned weights as all factors are equally important. Projects will be

evaluated on the basis of the elements listed below in order to meet the goals described above.

The emphasis is on practical results that can be implemented in the near term, and long-term research and development activities are given low priority. It is also expected that the industry will continue activities on its own without the need for continued Federal assistance.

## Application Procedures

Industry associations or other organizations seeking industry assistance must submit an application identified as Standard Form 424 (OMB Control No. 0348–0043), if encouraged to do so as a result of the meeting with a TAAD representative.

Acceptable industry assistance applications will be processed as funds are available; normally one to three months is required for final decision on application.

Formula and Matching Requirements

Generally, a minimum of 50 percent share is required for industry assistance cooperative agreements.

Length and Time Phasing of Assistance

Industry assistance cooperative agreements are generally for a 12-month period, but may be longer for tasks requiring more time to complete.

## Further Information

For further information, contact the Director, Trade Adjustment Assistance Division, Economic Development Administration, Room 7023, U.S. Department of Commerce, Washington, DC 20230; telephone (202) 482–3373.

## XII. EDA Regional Offices and Economic Development Representatives

The EDA regional and field offices, states covered, and the economic development representatives (EDRs) are listed below.

EDRs States covered

## Atlanta Regional Office 401 West Peachtree Street, N.W., Suite 1820 Atlanta, Georgia 30308–3510 Telephone: (404) 730–3002

Burnette, F. Wayne, Aronov Building, Room 705, 474 South Court Street, Montgomery, AL 36104, Telephone: (205) 223-7008.

Smith, Lola B., Federal Building, Room 423, 80 North Hughey Avenue, Orlando, FL 32801, Telephone: (407) 648–6572.

Day, William J., Jr., 401 West Peachtree Street, N.W., Suite 1820, Atlanta, GA 30308-3510, Telephone: (404) 730-3000.

Austin Regional Office Thornberry Building, Suite 121 Alabama.

Florida.

Georgia.

Kentucky. Mississippi. North Carolina. South Carolina.

Tennessee.

Austin Regional Office
Thornberry Building, Suite 121
903 San Jacinto Boulevard
Austin, Texas 78701–2450
Telephone: (512) 482–5461

Spearman, Sam, Room 2509, Federal Building, 700 West Capitol, Little Rock, AR 72201, Telephone: (501) 324-5637.

Davidson, Pamela, 412 North Fourth Street, Room 104, Baton Rouge, LA 70802-5523, Telephone: (504) 389-0227

Swearingen, James, P.O. Box 2662, Santa Fe, NM 87504, Telephone: (505) 988–6557 .....

Waters, Alvin X. Jr., 5500 North Western, Suite 148, Oklahoma City, OK 73118–4011, Telephone: (405) 231–4198 Ramirez, Roy, Suite 121, Thornberry Building, 903 San Jacinto Boulevard, Austin, TX 78701–2450, Telephone: (512) 482–5118.

Jacob, Lawrence, Suite 121, Thornberry Building, 903 San Jacinto Boulevard, Austin, TX 78701–2450, Telephone: (512) 482–5119.

Arkansas.

Louisiana.

New Mexico. Oklahoma. Texas (south).

Texas (north).

California Field Office Oakland Federal Building 1301 Clay Street, Suite 675N Oakland, California 94612–5217 Telephone: (510) 637–2988

California (northern). California (central). 469-7314.

EDRs	States covered	
Oaks, Charles W., 297 Marengo Avenue, Room 100, Pasadena, CA 91102–6020, Telephone: (818) 583–6967	California (southern).	
Chicago Regional Office 111 North Canal Street, Suite 855 Chicago, IL 60606–7204 Telephone: (312) 353–7706		
Casals, Alfred L., 509 West Capitol, Suite 204, Springfield, IL 62704, Telephone: (217) 492–4224	Illinois. Indiana.	
Collison, James L., 100 North Warren Avenue, Room 1018, Saginaw, MI 48606-0867, Telephone: (517) 758-4097.	Michigan.	
Arnold, John B. III, 104 Federal Building, 515 West First Street, Duluth, MN 55802, Telephone: (218) 720–5326 Hickey, Robert F., Federal Building, Room 607, 200 North High Street, Columbus, Ohio 43214, Telephone: (614)	Minnesota. Ohio.	

## **Denver Regional Office** 1244 Speer Boulevard, Room 670 Denver, Colorado 80204 Telephone: (303) 844-4714

Price, Jack D., 1320 W. Clairemont Ave., Suite 114, Eau Claire, WI 54701, Telephone: (715) 834-4079 .....

Zender, John, 1244 Speer Boulevard, Room 632, Denver, CO 80204, Telephone: (303) 844–4902 ...... Colorado, Kansas. Cecil, Robert, Federal Building, Room 593A, 210 Walnut Street, Des Moines, IA 50309, Telephone: (515) 284lowa. 4746 Paul Hildebrandt, Federal Building, Room B-02, 608 East Cherry, Columbia, MO 65201, Telephone: (314) 442-Missouri. Rogers, John C., Federal Building, Room 196, Drawer 10074, Helena, MT 59626, Telephone: (406) 449-5074 ..... Montana. Nebraska, South Dakota. Albertson, Warren A., Federal Building, Room 219, Pierre, SD 57501, Telephone: (605) 224-8280 ...... Grant, Cornelius P., P.O. Box 1911, Bismarck, ND 58501, Telephone: (701) 250-4321 ..... North Dakota. Ockey, Jack, Federal Building, Room 2414, 125 South State Street, Salt Lake City, UT 84138, Telephone: (801) Utah, Wyoming. 524-5119.

> Philadelphia Regional Office **Curtis Center** Independence Square West Suite 140 South Philadelphia, PA 19106 Telephone: (215) 597-4603

Hammarlund, C.N. Jr., Federal Office Building, Room 453, 450 Main Street, Hartford, CT 06103, Telephone: (203) Connecticut, Rhode Island. 240-3256 Flynn, Patricia A., 2568-A Riva Road, Suite 200, Annapolis, MD 21401-7450, Telephone: (410) 962-2513 ......... Delaware, Maryland, District of Columbia. Blitz, Sandford, Federal Building, Room 410D, 40 Western Avenue, Augusta, ME 04330, Telephone: (207) 622-Maine. 8271. Fitzhenry, William A., Boston Federal Office Building, 10 Causeway Street, Room 420 (Box 2), Boston, MA Massachusetts. 02222-1036, Telephone: (617) 565-7235. Potter, Rita V., 143 North Main Street, Suite 209, Concord, NH 03301, Telephone: (603) 225-1624 ...... New Hampshire, Vermont. Rossignol, Clifford J., 44 South Clinton Avenue, Room 703, Trenton, NJ 08609, Telephone: (609) 989-2192 ....... New Jersey. Marshall, Harold J. II, 620 Erie Boulevard West, Suite 104, Syracuse, NY 13204, Telephone: (315) 423-5203 ...... New York. Pecone, Anthony M., 1933A New Berwick Highway, Bloomsburg, PA 17815, Telephone: (717) 389-7560 ..... Pennsylvania. Cruz, Ernesto L., Federal Office Building, Room 620, 150 Carlos Chardon Avenue, Hato Rey, PR 00918-1738, Puerto Rico, Virgin Islands. Telephone: (809) 766-5187. Noyes, Neal E., 700 Centre Building, Room 230, 704 E. Franklin Street, Richmond, VA 23219, Telephone: (804) Virginia. 771-2061. Davis, R. Byron, Rose City Press Building, 550 Eagan Street, Room 305, Charleston, WV 25301, Telephone: West Virginia. (304) 347-5252.

> Seattle Regional Office Jackson Federal Building, Room 1856 915 Second Avenue Seattle, Washington 98174 Telephone: (206) 220-7660

Richert, Bernhard E. Jr., 605 West 4th Avenue, Room G-80, Anchorage, AK 99501-7594, Telephone: (907) 271-

Perot, C. Antony, Phoenix Plaza, Suite 965, 2901 North Central, Phoenix, AZ 85012, Telephone: (602) 640-2541.

Alaska.

Wisconsin.

Arizona, Nevada (except Elko, Eureka and White) Pine Counties).

EDRs	States covered
McChesney, Frank, P.O. Box 50264, Federal Building, Room 4106, Honolulu, HI 96850, Telephone: (808) 541–3391.	Hawaii, Guam, American Samoa, Marshall Islands Micronesia, Northern Marianas.
Ames, Aldred F., Room 441, 304 North 8th Street, Boise, ID 83702, Telephone: (208) 334–1533	Idaho, Nevada (counties o Elko, Eureka & White Pine).
Berblinger, Anne S., One World Trade Center, 121 S.W. Salmon Street, Suite 244, Portland, OR 97204, Telephone: (503) 326–3078.	Oregon.
Kirry, Lloyd P., Jackson Federal Building, 915 Second Avenue, Room 1856, Seattle, WA 98174, Telephone: (206) 220–7682.	Washington.

Dated: March 8, 1995.

William W. Ginsberg,

Assistant Secretary for Economic

Development.

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